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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/879,183	06/13/2001	Hiroyuki Amano	35.G2831	3995
5514	7590	10/13/2006	EXAMINER	
FITZPATRICK CELLA HARPER & SCINTO			DINH, MINH	
30 ROCKEFELLER PLAZA			ART UNIT	
NEW YORK, NY 10112			PAPER NUMBER	

2132

DATE MAILED: 10/13/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/879,183

Applicant(s)

AMANO, HIROYUKI

Examiner

Minh Dinh

Art Unit

2132

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 16 September 2005.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-14,18,19,21,22 and 24-27 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-14,18,19,21,22 and 24-27 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.


Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 13 June 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.


KAMBIZ ZAND
PRIMARY EXAMINER

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____.
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____.
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____.

DETAILED ACTION

Response to Amendment

1. This action is in response to the RCE/amendment filed 09/16/2005. Claims 1, 11, 18-19 and 21-22 have been amended; claims 26-27 have been added.

Response to Arguments

2. Applicant's arguments with respect to claim 1, see page 9, last paragraph, have been considered but are not persuasive. Applicant's amendments have necessitated a new search and new grounds of rejection.

Claim Rejections - 35 USC § 102

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

4. Claims 1-14, 18-19, 21-22 and 24-27 are rejected under 35 U.S.C. 102(b) as being anticipated by Reifman et al. (5,539,530). Reifman discloses a facsimile machine which performs user password authentication,

allows an authenticated user to set custom operational parameters such as fax cover pages, provides the authenticated user who sends a fax document with an option whether to include a cover page as well as an option whether to include header information for each transmitted page of the fax document wherein the facsimile machine automatically sets the authenticated user's information as sender information in the cover page and in the header if those options are selected by the authenticated user (figures 14, 22, 91-92, 94 and 98; col. 3, lines 30-45; col. 42, lines 49-51; col. 44, lines 9-16).

Claim Rejections - 35 USC § 103

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. Claims 1-14, 18-19, 21-22 and 24-27 are rejected under 35 U.S.C. 103(a) as being unpatentable over Czyszczewski et al (6,577,907) in view of Reifman.

Regarding claims 1, 18, 21 and 26-27, Czyszczewski discloses an information processing apparatus comprising: an identifying device which identifies a user of said information processing apparatus (fig. 1, element

26; col. 11, lines 4-18); and a setting device which automatically sets the user's name or other employee/personal information which corresponds to the user identified by said identifying device as sender's information in the cover page of an outgoing document such as fax or email that is sent by the user (fig. 1, element 25; col. 11, lines 12-18).

Czyszczewski does not disclose that the setting device allow the user to select a location for placement of sender information and which automatically sets the user's information to a predetermined field of transmission data as sender information, wherein the predetermined field corresponds to the selected location. Reifman discloses a facsimile machine comprising a setting device which allows an authenticated user (using password authentication) to select to include a fax header and which automatically sets the user's information as sender information in the header at the top of each transmitted page (fig. 22, element 202; col. 3, lines 30-45; col. 42, lines 49-51; col. 44, lines 9-16). It would have been obvious to one of ordinary in the art at the time the invention was made to modify the device of Czyszczewski such that setting device allows an authenticated user to select to include a fax header and automatically sets the user's information as sender information in the header at the top of each transmitted page, as taught by Reifman. Sender's information could be easily found on each transmitted page of the faxed document.

Regarding claim 2, Czyszczewski further discloses that the setting device adds the sender information to a cover page of the transmission data (col. 11, lines 12-18).

Regarding claim 3, Czyszczewski further discloses that the cover page is registered in advance in said information processing apparatus (col. 9, lines 38-54); and the setting device automatically adds the cover page to the transmission data (col. 11, lines 12-18).

Regarding claim 4, Czyszczewski further discloses that the use of the cover page, which is registered in advance, is restricted in accordance with the identified user (col. 9, lines 38-54; col. 11, lines 12-18).

Regarding claims 5-6, Czyszczewski further discloses a connecting device for establishing a connection with a communication apparatus (fig. 2, element 125; col. 2, lines 57-64; col. 4, lines 5-9) and a storing device for storing a program for transmitting the transmission data using said communication apparatus (fig. 2, element 90; col. 10, lines 21-27); wherein said identifying device performs authentication in order to determine whether the user of said information processing apparatus is authorized to use the program or to establish a connection with said communication apparatus (fig. 1, element 26; col. 11, lines 12-18).

Regarding claims 7-8, Czyszczewski further discloses that said communication apparatus comprises a facsimile machine (col. 4, lines 5-9).

Regarding claims 9-10, Czyszczewski further discloses that said communication apparatus comprises a server on a network (figures 4 and 5).

Regarding claim 11, which is representative of claims 19 and 22, Czyszczewski discloses an information processing apparatus comprising a storing device for storing second information corresponding to first information, the first information specifying a user of another information processing apparatus (col. 9, lines 38-54; col. 11, lines 4-17); a receiving device for receiving the first information and transmission data transmitted from the other information processing apparatus (fig. 1, element 26; col. 11, lines 4-18); and a setting device which sets the second information which is the user's name or other employee/personal information corresponding to the first information received by said receiving device as sender information in the cover page of an outgoing fax document sent by the user (fig. 1, element 25; col. 11, lines 12-18).

Czyszczewski does not disclose that the setting device allow the user to select a location for placement of sender information and which automatically sets the user's information to a predetermined field of transmission data as sender information, wherein the predetermined field corresponds to the selected location. Reifman discloses a facsimile machine comprising a setting device which allows an authenticated user (using

password authentication) to select to include a fax header and which automatically sets the user's information as sender information in the header at the top of each transmitted page (fig. 22, element 202; col. 3, lines 30-45; col. 42, lines 49-51; col. 44, lines 9-16). It would have been obvious to one of ordinary in the art at the time the invention was made to modify the device of Czyszczewski such that setting device allows an authenticated user to select to include a fax header and automatically sets the user's information as sender information in the header at the top of each transmitted page, as taught by Reifman. Sender's information could be easily found on each transmitted page of the faxed document.

Regarding claim 12, Czyszczewski further discloses that said setting device adds a cover page to the transmission data and thereafter transmits the transmission data (col. 11, lines 12-18).

Regarding claim 13, Czyszczewski further discloses that said setting device adds the second information to the cover page as the sender information (col. 9, lines 38-54).

Regarding claim 14, Czyszczewski further discloses that said receiving device receives third information which specifies the cover page; and said setting device adds the cover page specified by the third information to the transmission data (col. 9, lines 38-54).

Regarding claims 24-25, Czyszczewski further discloses a transmitting device which transmits the outgoing document (fax/email) to which the sender information is attached (fig. 1, elements 55 and 135).


Any inquiry concerning this communication or earlier communications from the examiner should be directed to Minh Dinh whose telephone number is 571-272-3802. The examiner can normally be reached on Mon-Fri: 10:00am-6:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gilberto Barron can be reached on 571-272-3799. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

MD

Minh Dinh
Examiner
Art Unit 2132


KAMBIZ ZAND
PRIMARY EXAMINER

MD
10/03/06